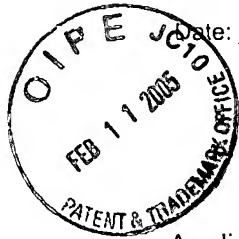


I hereby certify that, on the date shown below, this correspondence is being:

- ☐ deposited with the United States Postal Service in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,
☒ under 37CFR § 1.8(a), with sufficient postage as first class mail, or
☐ under 37CFR § 1.10, as "Express Mail Post Office to Addressee" Mailing Label No. _____,
☐ transmitted by facsimile to the Patent and Trademark Office, Fax Number _____.

Date: February 8, 2005 By: Jolanta Pence
Jolanta Pence

PATENT
Docket No. ARC 3074 R1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Cormier et al.

Serial No.: 10/608,304

Filed: June 27, 2003

For: TRANSDERMAL DRUG DELIVERY
DEVICES HAVING COATED
MICROPROTRUSIONS

Group Art Unit: 1615

Examiner: Not yet assigned

**INFORMATION DISCLOSURE
STATEMENT**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the

mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Statement in Accordance with §1.97(e) (attached); or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

☐ Statement in Accordance with §1.97(e) (attached); or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner,
Applicant will endeavor to supply copies at the Examiner's request.

☐ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35 USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i).

☒ There are no listed references, which are not in the English language.

☐ The relevance of those listed references which are not in the English language is as follows:

☒ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.


☐ Attached are the following non-published pending patent applications, which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750.

Respectfully submitted,

Date: February 7, 2005

ALZA CORPORATION
c/o Johnson & Johnson
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New Brunswick, NJ 08933
Customer No.: 27777



Attorney for Applicants
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 1 of 1	Application Number	10:608 304
	Filing Date	June 27, 2003
	First Named Inventor	Cormier et al.
	Group Art Unit	1615
	Examiner Name	Not yet Assigned
	Attorney Docket Number	ARC 3074 R1

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code ² (if known)			
	AA	RE 25,637		Kravitz et al.	12/20/1952	
	AB	3,136,314		Kravitz	08/01/1960	
	AC	3,814,097		Ganderton et al.	06/04/1974	
	AD	3,964,482		Gerstel et al.	06/22/1976	
	AE	4,109,655		Chcornac	08/29/1978	
	AF	4,453,926		Galy	06/21/1984	
	AG	5,250,023		Hai Bang Lee et al.	10/05/1993	
	AH	5,279,544		Gross et al.	01/18/1994	
	AI	5,487,726		Rabenau et al.	01/30/1996	
	AJ	5,738,728		Tisone et al.	04/14/1998	
	AK	5,743,960		Tisone	04/28/1998	
	AL	5,741,554		Tisone	04/21/1998	
	AM	5,879,326		Godshall	03/09/1999	
	AN	5,916,524		Tisone	06/29/1999	
	AO	6,050,988		Zuck	04/18/2000	
	AP	6,083,196		Trautman et al.	06/04/2000	
	AR	6,091,975		Daddona et al.	06/18/2000	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³ Kind Code ⁵	Number ⁴					
		EP	0 407 063	A1	Connaught Laboratories Limited	01-09 91		
Examiner Signature					Date Considered			

*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

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		Office ³ Kind Code ⁵	Number ⁴					
		EP	0 407 063	A1	Connaught Laboratories Limited	01/09/91		
		WO	96/10630		Rutgers, The State University	04/11/96		
		WO	96/17648		Anmelder	06/13/96		
		WO	96/37155		Silicon Microdevices, INC	11/28/96		
		WO	96/37156		Silicon Microdevices, INC	11/28/96		
		WO	96/03718		Anmelder	02/06/97		
		WO	97/48440		ALZA Corporation	12/24/97		
		WO	97/48441		ALZA Corporation	12/24/97		
		WO	97/48442		ALZA Corporation	12/24/97		
		WO	98/00193		ALTEA Technologies, Inc.	01/08/98		
		WO	98/11937		DEKA Products Limited Partnership	03/26/98		
		WO	98/28037		ALZA Corporation	07/02/98		
		WO	98/29298		SHELL International Research Maatschappij B. V.	07/09/98		
		WO	98/29365		BASF Aktiengesellschaft	07/09/98		
		WO	99/64580		Georgia Tech Research Corp.	12/16/99		
		WO	00/35530		MINIMED Inc.	06/22/00		
		WO	00/45797		ORTHO-MCNEIL Pharmaceutical Inc.	08/10/00		
		WO	02/07813		Smithkline Beecham Biologicals	01/31/02		
		WO	02/30301		ALZA Corporation	04/18/02		
Examiner Signature					Date Considered			

*EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609 Draw line through citation if not in conformance and not considered Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

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SUBMISSION UNDER MPEP 609 D Page 1 of 1	Application Number	10/608,304
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			Number	Kind Code ² (if known)	
		ALZA Corporation	10/099,604		

FOREIGN PATENT DOCUMENTS

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			Office ³	Number ⁴	KindCode ⁵		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner's Initials*	Cite No. ¹	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		International Search Report dated October 23, 2003, for corresponding Application No. PCT/US02/20471.	

Examiner Signature		Date Considered	
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